

07/10/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 209799US2

First Inventor or Application Identifier Kobun SUZUKI

Title SYSTEM AND METHOD FOR SUPERVISING IMAGE FORMING  
APPARATUS BY REMOTELY DOWNLOADING FIRMWARE BASED  
ON UPDATED HARDWARE

Assignee Name: RICOH COMPANY, LTD.

Assignee Address: 3-6, Nakamagome, 1-Chome, Ohta-ku, Tokyo, JAPAN 143-8555

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. Specification

Total Sheets 35

3. Formal Drawing(s) (35 U.S.C. 113)

Total Sheets 21

4. Oath or Declaration

Total Pages

a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.53(b).5. CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copiesADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))8. ☒ Application Data Sheet. See 37 CFR 1.769. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☒ Cited Pending  
Applications (3)12. ☐ Preliminary Amendment13. ☒ White Advance Serial No. Postcard14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)15. ☐ Applicant claims small entity status.  
See 37 CFR 1.2716. ☒ Other: List of Inventor's Name  
Request for Priority  
IDS/Related/List of Related CasesPTO  
61606/61  
JAN 10 2002

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is  
considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon  
when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on☐ Which was published in English☐ Which was not published in English☐ This application claims priority of provisional application Serial No.

Filed

## 19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name: Gregory J. Maier

Registration No.: 25,599

Signature:

Date:

7-9-01

Name: Surinder Sachar

Registration No.: 34,423

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kobun SUZUKI

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SYSTEM AND METHOD FOR SUPERVISING IMAGE FORMING APPARATUS BY REMOTELY  
DOWNLOADING FIRMWARE BASED ON UPDATED HARDWARE

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	43 - 20 =	23	× \$18 =	\$414.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$80 =	\$240.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,494.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,494.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$1,494.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Gregory J. Maier  
Registration No. 25,599Surinder Sachar  
Registration No. 34,423Date: 7-9-01**22850**Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)